PTO/SB/21 (08-03) (AW 10/2003)
Approved for use through 7/31/2006. OMB 0651-0031
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TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5

Application Number	10/718,953	
Filing Date	November 21, 2003	
First Named Inventor	COLLINS	-
Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket No.	KPG-5098US	

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Fee Transmittal Form Fee Attached	☐ Dra	wing(s) ensing-related Papers		After Allowance Communication to Group	
Amendment/Reply After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Response to Missing Parts under	Pet Pro	ition ition to Convert to a visional Application wer of Attorney, Revocation, ange of Correspondence dress minal Disclaimer quest for Refund , Number of CD(s)		Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):	
37 CFR 1.52 or 1.53	OF APPL	ICANT, ATTORNEY OR A	GENT		
Firm or				33,602	
Individual Bruce M. Monroe		- Ingresia and the first of the second of th	.35,	,	
Signature Sury W M owne					
Date January 27, 2004					
CERTIFICATE OF TRANSMISSION / MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: January 27, 2004					
Name (Print/Type) Regina/Poletti / // /					
Signature Date January 27, 2004					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/718,953

Applicant:

COLLINS et al

Filed: Title: November 21, 2003
METHOD FOR FORMING IMAGES USING NEGATIVE WORKING IMAGEABLE

ELEMENTS

TC/A.U.:

Unknown

Examiner:

Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. Copies of each of the listed references, excluding the US references, are also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The Examiner's attention is called to the following copending applications.

- 1) "Method for Forming a Lithographic Printing Plate," Serial Number 10/336,595, filed January 3, 2003, pending in Group Art Unit 1752, Examiner unknown.
- 2) "Imageable Elements with Improved Dot Stability" Serial Number 10/384,988, filed March 10, 2003, Group Art Unit unknown, Examiner unknown.
- 3) "Infrared Absorbing Compounds and Their Use in Imageable Elements" Serial Number 10/353,106, filed January 27, 2003, Group Art Unit 1752, Examiner unknown.

4) "Thermally Imageable Element Imageable at Several Wavelength" Serial Number 10/409,301, filed April 7, 2003, Group Art Unit unknown, Examiner unknown.

- 5) "Polymeric Acetal Resins Containing Free Radical Inhibitors and Their Use in Lithographic Printing" Serial Number 10/117,505, filed April 5, 2002, Group Art Unit 1752, Examiner Unknown.
- 6) "Selected Acid Generating Agents and Their Use in Processes for Imaging Radiation-Sensitive Elements" Serial Number 10/155,696, filed May 24, 2002, Group Art Unit 1752, Examiner Unknown.
- 7) "Infra Red Absorbing Compounds and Their Use in Photoimageable Elements" Serial Number 10/384,989, filed March 10, 2003, Group Art Unit 1756, Examiner Unknown.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Bruce M. Monroe, Reg.No. 33,602

Attorney for Applicant

Enclosures: PTO Form 1449

(5) References Transmittal form

Dated: January 27, 2004

P.O. Box 1596 Wilmington, DE 19899 (302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

Regina Poletti

223/13-1450 on: Jan**0**ary 37, 2004

PTO/SB/08A (AW 4/25/03) (04-03) Approved for use through 04/30/2004. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/718,953		
Filing Date	November 21, 2003		
First Named Inventor	COLLINS		
Art Unit	Unknown		
Examiner Name	Unknown		
Attorney Docket No.	KPG-5098US		

SHEET 1 of 2

Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,708,925	11/24/1987	NEWMAN	
		US-5,372,907	12/13/1994	HALEY et al	
		US-5,543,262	8/6/1996	SYPEK et al	
•		US-5,700,619	12/23/1997	BAUMANN et al	
		US-5,763,134	6/9/1998	BUSMAN et al	
		US-5,919,601	7/6/1999	NGUYEN et al	
		US-5,965,319	10/12/1999	KOBAYASHI	"
		US-6,358,669	3/19/2002	SAVARIAR-HAUCK et al	
		US-6,391,519	5/21/2002	KUNITA	1
		US-6,525,152	2/25/2003	JAREK	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ^{5 (If known)}	Publication Date (MM-DD-YYYY)	App	of Patentee or licant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		WO 00/17711	3/30/2000				
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Examiner Signature					Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

⁶Applicant is to place a check mark here if English language translation is attached.

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³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 2 of 2

Complete if Known		
Application Number	10/718,953	
Filing Date	November 21, 2003	
First Named Inventor	COLLINS	
Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket No.	KPG-5098US	

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Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	т
	FRYER et al, Study of Acid Diffusion in Resist Near the Glass Transition Temperature, Journal, 1999, pgs. 3351-3355, Vol. 17(6)	
	ARAI et al, A Sensitive Positive Resist for 0.1-µm Electron-Beam Direct-Writing Lithography, Journal, 1997, pgs. 625-628, Vol. 10(4)	
	THACKERAY et al, Following the Acid: Effect of Acid Surface Depletion on Phenolic Polymers, Journal, 1995, pgs. 110-123, Vol. 614	
·	SAKAMIZU et al, Proceedings of SPIE – The International Society for Optical Engineering, Journal, 1997, pgs. 448-458, (Abstract)	
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		<u> </u>
		pgs. 3351-3355, Vol. 17(6) ARAI et al, A Sensitive Positive Resist for 0.1-µm Electron-Beam Direct-Writing Lithography, Journal, 1997, pgs. 625-628, Vol. 10(4) THACKERAY et al, Following the Acid: Effect of Acid Surface Depletion on Phenolic Polymers, Journal, 1995, pgs. 110-123, Vol. 614 SAKAMIZU et al, Proceedings of SPIE – The International Society for Optical Engineering, Journal, 1997, pgs. 448-458, (Abstract)

*EXAMINER: Initial If reference considered,	whether or not citation is in conformance with MPEP 609.	Draw line through citation if not in conformance and not
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considered. Include copy of this form with next communication to Applicant. ¹Applicant's unique citation designation number (optional).

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